



PCT/IBOS/01971 (02.08.05)

INVESTOR IN PEOPLE

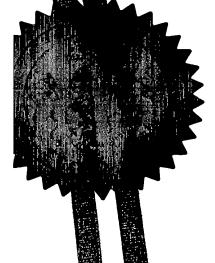
The Patent Office Concept House Cardiff Road Newport South Wales NP10 8QQ

I, the undersigned, being an officer duly authorised in accordance with Section 74(1) and (4) of the Deregulation & Contracting Out Act 1994, to sign and issue certificates on behalf of the Comptroller-General, hereby certify that annexed hereto is a true copy of the documents as originally filed in connection with the patent application identified therein.

In accordance with the Patents (Companies Re-registration) Rules 1982, if a company named in this certificate and any accompanying documents has re-registered under the Companies Act 1980 with the same name as that with which it was registered immediately before re-registration save for the substitution as, or inclusion as, the last part of the name of the words "public limited company" or their equivalents in Welsh, references to the name of the company in this certificate and any accompanying documents shall be treated as references to the name with which it is so re-registered.

In accordance with the rules, the words "public limited company" may be replaced by p.l.c., plc, P.L.C. or PLC.

Re-registration under the Companies Act does not constitute a new legal entity but merely subjects the company to certain additional company law rules.



Signed

Dated

22 July 2005

An Executive Agency of the Department of Trade and Industry





17JUN04 E904133-17 002825 _P01/7700 0:00-0413482.1 CHEQUE

> The Patent Office Cardiff Road Newport Gwent NP9 1RH

Request for grant 01 a partial See the notes on the back of this form. You can also get the leastlet from the Patent Office to help ONDON

Your reference 304696GB/DJW/ac

Patent application number (The Patent Office will fill in this part)

0413482.1

1 6 JUN 2004

Full name, address and postcode of the or of each applicant (underline all surnames)

NOKIA CORPORATION **KEILALAHDENTIE 4** 02150 ESPOO **FINLAND**

7652217006

10 Patents ADP number (if you know it)

If the applicant is a corporate body, give the country/state of its incorporation

FINLAND

Title of the invention

(11)

PACKET QUEUING SYSTEM AND METHOD

Name of your agent (if you have one) "Address for service" in the United Kingdom to which all correspondence should be sent (including the postcode)

PAGE WHITE & FARRER 54 DOUGHTY STREET LONDON WC1N 2LS UNITED KINGDØM

Patents ADP number (if you know it)

1255003

If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and (if you know it) the or each application number

Country

Priority application number (if you know it)

Date of filing (day / month / year)

If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application

Date of filing (day / month / year)

Is a statement of inventorship and of right to grant of a patent required in support of

this request? (Answer 'Yes' if: a) any applicant named in part 3 is not an inventor, or

b) there is an inventor who is not named as an applicant, or

c) any named applicant is a corporate body

See note (d))

YES

Patents Form 1/77

Patents Form 1/77

Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form

28

7

1

Description Claim(s)

Abstract

Drawing(s)

10. If you are also filing any of the following, state how many against each item.

Priority documents

Translations of priority documents

Statement of inventorship and right to grant of a patent (Patents Form 7/77)

Request for preliminary examination and search (Patents Form 9/77)

Request for substantive examination (Patents Form 10/77)

Any other documents (please specify)

I/We request the grant of a patent on the basis of this application.

Signatu

Date

020 7831 7929

GE WHITE & FARRER wid J Williams

16 June 2004

12. Name and daytime telephone number of

person to contact in the United Kingdom

Ç

After an application for a patent has been filed, the Comptroller of the Patent Office will consider whether publication or communication of the invention should be prohibited or restricted under Section 22 of the Patents Act 1977. You will be informed if it is necessary to prohibit or restrict your invention in this way. Furthermore, if you live in the United Kingdom, Section 23 of the Patents Act 1977 stops you from applying for a patent abroad without first getting written permission from the Patent Office unless an application has been filed at least 6 weeks beforehand in the United Kingdom for a patent for the same invention and either no direction prohibiting publication or communication has been given, or any such direction has been revoked.

Notes

- If you need help to fill in this form or you have any questions, please contact the Patent Office on 0645 500505. a)
- Write your answers in capital letters using black ink or you may type them. b)
- If there is not enough space for all the relevant details on any part of this form. please continue on a separate c) sheet of paper and write "see continuation sheet" in the relevant part(s). Any continuation sheet should be attached to this form.
- If you have answered 'Yes' Patents Form 7/77 will need to be filed.
- e) Once you have filled in the form you must remember to sign and date it.

PACKET OUEUING SYSTEM AND METHOD

Background to the Invention

Field of the Invention:

10

15

20

25

The invention is related to the queuing of packets, and particularly but not exclusively to the queuing of packets at the input of a processing device.

Description of the Related Art:

A UNIX like operating system consists of a kernel, which is the core of the operating system, and processes that are allocated CPU (central processor unit) run time by the kernel. Different applications can be implemented as processes. The kernel takes care of asynchronous events, such as interrupts from hardware devices. The routines that handle interrupts are called "interrupt service routines". The kernel also provides services for the processes.

For high-speed devices, such as network interface cards, interrupts occur with a high priority.

The frequency of interrupts depends on the frequency of the arriving packets. Even if interrupts are issued less frequently than packets arrive, the rate of interrupts can still be quite high.

An interface card must acknowledge receipt of a packet quickly so that it can accept more packets. This is a relatively light process compared to processing of the actual packet. Therefore, the packets are usually placed on a work queue to be scheduled later on. This is done in order to avoid spending too much time in interrupt service routines and allow critical processes and other functions run-time. The mechanism for informing the kernel about

queued lower-priority work is termed a "software interrupt". The processing of the packet is eventually handled by a function, and the packet is removed from the work queue. User space processes are pre-empted by both hardware and software interrupts.

Queuing in this context refers to storing packets for subsequent processing. Typically, queuing occurs within any network device, such as a router, when packets are received by the device (input queue), and prior to transmitting the packets to another device (output queue). By queuing the packets the device can tolerate variations in input/output processing. By queuing packets in multiple queues and applying scheduling (how packets are taken from the queues and moved onwards) the device can support quality of service.

10

15

20

25

Quality of Service (QoS) is the end-to-end provision of a consistent, predictable data delivery service to a certain traffic class or user. Enabling QoS requires the cooperation of all network layers from top-to-bottom, as well as every network element from end-to-end.

Packet traffic can be categorized by its requirements for delay and importance relative to other packet traffic. For example, traffic from a real-time service such as voicerequires low delay and low drop probability, from non-real-time service such whereas traffic browsing does not require such a strict characteristic nor does it matter so much if packets are dropped, because the traffic is protected by transmission control protocol (TCP) for HTTP traffic.

Differentiated Services (DiffServ) is an IETF specified QoS in one or more mechanism that handles traffic flows packets carry framework, the DiffServ In networks. information of their traffic class in the IP header. The Diffserv scheme does not require any signalling since packets are marked at source or at the edge of the network with priority information, and inside the network the packets are treated according to this information at each hop (i.e. at each step in the network such as a router). A key characteristic of Diffserv is are that there absolute guarantees for any traffic class. The guarantees are relative to other traffic classes. For example, voiceover-IP is guaranteed to have the lowest drop probability and the lowest delay of all traffic classes, whereas HTTP traffic suffers more in congestion situations in terms of packets dropped and experienced delay. Each packet is also treated the same way as others belonging to the same traffic class.

5

10

15

20

25

Traditionally quality of service is enforced for packets interface. network out of a going which are network element transmits where the congestion, packets than a network link can handle, is only part of the Today network interfaces have a very large capacity and a typical general purpose processor can be easily overrun by packets arriving from the network. When processor becomes overloaded packets are randomly without any QoS treatment, and the existing QoS mechanisms become irrelevant.

When a device receives packets, the packets can be dropped and/or experience delay in the various processing stages.

Packets are especially likely to be dropped after interrupt

service routines and before actual packet processing. This happens because hardware interrupts are serviced (and interrupt service routines are run) at a higher priority than other tasks in the processor. When the hardware interrupt servicing takes less than 100% of CPU time, which is usually the case, the packets will be correctly placed to a queue waiting for further processing. If the queue becomes full packets are dropped. Since packets destined for a particular processing service are not typically 10 queued in multiple queues, all packets arriving in that queue will be treated in the same manner (drop if queue is full). High priority packets have the same drop probability delay and characteristics as low priority packets. Therefore, quality of service cannot be guaranteed in all 15 processor and bus load situations.

It is an aim of the invention to provide an improved technique for queuing packets.

Summary of the Invention:

20

25

30

According to the invention there is provided a method of queuing packets for processing, the method comprising the steps of: allocating each received packet to at least one arrival queue; placing each packet in the allocated queue if said queue is not full, otherwise dropping said packet; scheduling packets from the arrival queue to at least one transfer queue; responsive to transfer of a packet to a transfer queue, generating an interrupt; responsive to receipt of an interrupt, allocating the packet to one of a plurality of processor queues; placing the packet in the allocated processor queue if said queue is not full, otherwise dropping said packet; and scheduling packets from the processor queues for processing.

Packets may be received at an input to a plurality of devices.

At least one device may include a plurality of arrival queues. Each arrival queue may be associated with a traffic class, each packet being allocated to the at least one queue in accordance with the traffic class of each packet. The traffic class may be priority information embedded in the each packet.

5

15

20

At least one device may comprise a plurality of transfer 10 queues.

The number of transfer queues for each device may be less than the number of arrival queues for each device.

The scheduling of packets from the arrival queue to the transfer queue may be dependent upon one or more of: the traffic profile; the quality of service requirement; or the characteristics of the transfer queues.

The transfer queue may comprise a device level transfer queue and a processor level transfer queue, wherein the device level transfer queue receives packets from the arrival queue, and the processor level transfer queue receives packets from the device level transfer queue.

Packets may be transferred to the processor level transfer queue from the device level transfer queue whenever there is space in the processor level transfer queue.

25 Packets may never be dropped from the transfer queue.

The processor queues may be associated with different priorities. The highest priority queue may have the lowest drop probability and the lowest latency.

Responsive to receipt of an interrupt from a device, a packet may be removed from the transfer queue of the device and classified.

The classification may be based on a determination of priority. The packet may be allocated to a processor queue in accordance with its classification. The packet may be placed in the allocated processor queue if said queue is not full, otherwise the packet is dropped.

10

15

20

25

In further aspect the invention provides including a processor and at least one device, in which packets for processing by the processor received at an input of the at least one device, wherein the at least one device includes: allocating means for allocating each received packet to at least one arrival of the device; placement means for placing each packet in the allocated queue if said queue is not full, dropping said packet; scheduling means scheduling packets from the device arrival queue to at least one transfer queue; and interrupt means, responsive to transfer of a packet to a transfer queue, for generating an interrupt from the device to a processor; and wherein the processor includes: allocation means, responsive to receipt of an interrupt, for allocating the packet to one of a plurality of processor queues; placement means for placing the packet in the allocated processor queue if said queue is not full, otherwise dropping said packet; scheduling means for scheduling packets from the processor queues for processing.

The system may include a plurality of devices adapted to 30 receive packets for processing by the processor at inputs thereof. At least one device may be adapted to provide a plurality of arrival queues

Each arrival queue may be associated with a traffic class, each packet being allocated to the at least one queue by the allocation means in accordance with the traffic class of each packet.

The traffic class may be priority information embedded in the each packet.

At least one device may include a plurality of transfer 10 queues.

The number of transfer queues for each device may be less than the number of arrival queues for each device.

The scheduling means may be responsive to one or more of: the traffic profile; the quality of service requirement; or the characteristics of the transfer queues.

15

20

25

The transfer queue may comprise a device level transfer queue and a processor level transfer queue, the device level transfer queue being adapted to receive packets from the arrival queue, and the processor level transfer queue being adapted to receive packets from the device level transfer queue.

The system may be adapted such that packets are transferred to the processor level transfer queue from the device level transfer queue whenever there is space in the processor level transfer queue.

The system may be further adapted such that packets are never dropped from the transfer queue.

The processor queues may be adapted to be associated with different priorities.

The system may be adapted such that the highest priority queue has the lowest drop probability and the lowest latency.

The processor may include transfer means adapted,
5 responsive to receipt of an interrupt from a device, to
remove a packet from the transfer queue of the device, and
provide such to a classification means for classification.

The classification may be adapted to be based on a determination of priority.

10 Embodiments of the invention relate to packet processing implemented in software running on a general purpose processor or similar system.

Embodiments of the invention place packets into multiple queues after an interrupt service routine and before an actual packet processing in the following manner: the packet priority is read from the packet header; based on the packet priority the packet is placed on one of the queues; and there are 2 to N queues for a particular packet processing function.

20 A scheduler with a suitable algorithm(s) then preferably moves packets from the N queues and passes them on to the appropriate packet processing stage.

The invention, and embodiments thereof, thus provides flow control at a device level, preferably by means of a device level scheduler, to ensure that the processor only receives an amount of packets that it is able to process at the interrupt stage.

25

The processor level scheduler, which is preferably provided, ensures that the quality of service rules are

followed in an overload situation for those packets that are already past the interrupt stage.

Multiple queues are preferably provided at each stage. In some stages multiple queues are needed to make it possible to drop packets according to selected quality of service schemes. Preferably packets are dropped either at the arrival queues or at the last processor queues. In all stages, the preferable provision of multiple queues makes it possible to offer low delay to traffic classes that need that property.

10

15

20

25

In a further aspect there is provided a device adapted for queuing packets to be processed, the device including: allocating means for allocating a received packet to at least one arrival queue; placement means for placing each packet in the allocated queue if said queue is not full, scheduling otherwise dropping said packet; scheduling packets from the arrival queue to at least one transfer queue; and interrupt means, responsive to transfer generating a packet to a transfer queue, for interrupt; allocation means, responsive to receipt of an interrupt, for allocating the packet to one of a plurality of processor queues; placement means for placing the packet in the allocated processor queue if said queue is not full, otherwise dropping said packet; and scheduling means for for queues the processor packets scheduling from processing.

The device preferably includes a plurality of arrival queues

Each arrival queue may be associated with a traffic class, 30 each packet being allocated to the at least one queue by

the allocation means in accordance with the traffic class of each packet.

The device may include a plurality of transfer queues.

The transfer queue may comprise a device level transfer queue and a processor level transfer queue, the device level transfer queue being adapted to receive packets from the arrival queue, and the processor level transfer queue being adapted to receive packets from the device level transfer queue.

The device may be adapted such that packets are transferred to the processor level transfer queue from the device level transfer queue whenever there is space in the processor level transfer queue.

The device may be further adapted such that packets are never dropped from the transfer queue.

The processor queues may be adapted to be associated with different priorities.

The device may further include transfer means adapted, responsive to receipt of an interrupt, to remove a packet from the transfer queue of, and provide such to a classification means for classification.

The device may further include means to allocate the packet to a processor queue in accordance with its classification.

The placement means may be adapted such that the packet is placed in the allocated processor queue if said queue is not full, and otherwise the packet is dropped.

Brief Description of the Figures:

20

The invention is described by way of example with reference to the accompanying drawings, in which:

Figure 1 illustrates an exemplary environment within which embodiments of the invention may be implemented;

Figure 2 illustrates an exemplary implementation of a first part of a queuing arrangement in an embodiment of the invention;

Figure 3 illustrates preferred process steps for implementing an arrival queue in the embodiment of Figure 2;

Figure 4 illustrates preferred process steps for 10 implementing for implementing a device transfer queue in the embodiment of Figure 2;

Figure 5 illustrates an exemplary implementation of a second part of a queuing arrangement in an embodiment of the invention; and

15 Figure 6 illustrates preferred process steps for implementing the embodiment of Figure 5.

Description of Preferred Embodiments:

5

The invention is described herein by way of reference to particular non-limiting examples.

20 Referring to Figure 1, there is illustrated a typical example environment within which the invention may be implemented. A plurality of network devices 102,104,106 are shown. Each network device is provided with an interface to a bus 114. A chipset 108 is also provided with an interface to the bus 108. The chipset 108 is associated with a memory 110 and a processor 112.

The processor 112 processes packets received by the chipset 108 on the bus 114. Packets are received by the chipset 108 and stored or buffered in the memory 110 until the

processor 112 is ready to process them. The invention, and embodiments thereof, is utilised to control the buffering of data packets, as described in further detail below.

The chipset 108 may, in practice, receive packets from any type of other device. For example, the chipset may receive packets from a switch fabric. The bus 114 may be any type of bus, for example a PCI bus, the processor 112 for example being a Pentium III processor or such like. There may also be provided multiple buses. The invention is not, however, limited to the packets being received on a bus.

10

15

20

25

30

The invention will now be described by way of reference to a specific exemplary queuing arrangement as illustrated.

Referring to Figure 2, there is illustrated a high level view of processor ingress path for describing exemplary embodiment of the invention. Reference numeral 260 represents a processor and memory block, and reference numerals 202, 228, and 232 denote devices connected to the processor and memory block 260. In the exemplary embodiment, block 202 is a first network device, block 228 is a second network device, and block 232 represents a switching fabric controller. The devices 202, 228, and 232 are examples of devices that may be connected to processor. Other devices may also be connected to the In addition there may be more devices than the devices shown in Figure. 2.

The ingress path within the first network device 202 is now explained in further detail. The first network device 202 receives arriving packets on an input line 204. The arriving packets on the input line 204 are received at a device input interface 206. The input interface 206

allocates the arriving packets to one or more arrival queues. The first network device 202, in the exemplary embodiment, is provided with N arrival queues. Thus each arriving packet is allocated to one of N arrival queues, as denoted by queues 210, to 210, The input interface 206 is provided with N outputs, 208, to 208, connected to each of the N arrival queues respectively. Each of the N arrival queues has a respective output 212, to 212, Thus, in general, the input interface 206 allocates an arriving packet to one of the N arrival queues 210, the plurality of arrival queues 210 having a corresponding plurality of inputs 208 and a corresponding plurality of outputs 212.

10

15

20

Each individual device may be provided with a different number of arrival queues. Thus, N may be one or more for any device.

Each of the N arrival queues is preferably associated with a particular traffic class. Thus, the input interface 206 allocates arriving packets to the arrival queues in dependence upon the class of the arriving packets. When any arrival queue 210 becomes full, any packets destined for that queue are dropped. Thus all packets arriving at the input of the network device 202 are directed to one of the N arrival queues 210, in dependence on the traffic class associated with the respective packets.

The traffic class used for allocating arriving packets to the arrival queues may be priority information embedded in the packets themselves. Each of the N queues may represent a certain traffic class, for example, a 3G network traffic class, a differentiated service traffic class, or a special plurality class for internal traffic or signalling traffic. The outputs 212_r to 212_N of the arrival queues form inputs to a device level scheduler 214. The device level scheduler 214 transfers packets from the N arrival queues to M device transfer queues. The M device transfer queues are denoted by reference numeral 218, and comprise M device transfer queues 218₁ to 218_M. The device level scheduler 214 has a plurality M of outputs 216, denoted 216₁ to 216_M, each forming a respective input for one of the device transfer queues 218₁ to 218_M. The device transfer queues 218₁ to 218_M have a respective plurality of M outputs, denoted 220₁ to 220_M.

10

15

20

25

In the preferred embodiment, the number of device transfer queues M is less than or equal to the number of device arrival queues N. The mapping of the N queues to M queues depends on: (i) traffic profile; (ii) QoS requirement; and (iii) the characteristics of the transfer queues. In practice, the number of device transfer queues may be limited to only one or two queues. In an example where M = 2, one queue may be used for the most important traffic class and all other traffic placed in the other queue. Thus each transfer queue 218 corresponds to a certain flow control and transfer functionality.

The outputs 220_1 to 220_M of the device transfer queues 218 form inputs to a device level interface 222. The device level interface provides outputs 226_1 to 226_M to a processor level interface 262.

The processor level interface 262 provides outputs on lines 266_1 to 266_M to respective processor transfer queues 264_1 to 264_M . Each of the processor transfer queues has a respective output on lines 268_1 to 268_M .

Thus, the packets in the device transfer queues 218 are transferred to corresponding processor transfer queues 264. Each device transfer queue 218 therefore corresponds to a certain processor transfer queue 264 located in the processor part of the system 260. The number of processor transfer queues 264 corresponds to the number of device transfer queues. The processor transfer queues 264 are specific to the device 202.

Packets are not dropped from the device transfer queues 218

10 or the processor transfer queues 264. The device level scheduler 214 does not attempt to transfer packets to a device transfer queue 218 that does not have enough space in it.

15

20

The purpose of the device level interface 222 and the processor level interface 262 are to provide flow control and transfer functionality to transfer packets from the device transfer queues 218 to the processor transfer queues 264. The transfer of packets from any device transfer queue 218 to a respective processor transfer queue 264 occurs whenever there is space in the particular processor transfer queue. The information of available space is communicated over a transfer bus via connections not illustrated in Figure 2, with any suitable algorithm or method as known in the art.

25 Each other device connected to the processor and memory 260, such as device of 228 and 232, is provided with arrival queues and device transfer queues as described hereinabove with reference to the first network device 202. For each of the second network device and the switching 30 fabric controller 232, the packets arrive on a respective input line 230 and 234. The arrival queues for each of the

devices 228 and 232 is not illustrated for simplification of the drawing. Each of the devices may have any number of arrival queues, being one or more, in dependence upon the configuration of the device. The packets in the arrival queues are allocated to device transfer queues by a device level scheduler, as described hereinabove with reference to the first network device 202. The device transfer queues for each of the second network device 228 and the switching fabric controller 232 are illustrated in Figure 2.

The second network device 228 is provided with P device 10 transfer queues 236, denoted 236, to 236p. The P device transfer queues each have a respective input line 240, to The device transfer queues 236 each have a respective output 2381 to 238p, each of which forms an input to a device level interface 242 for the second network device 15 228. As for any device, the number of device transfer queues may be any number of one or more. Thus P may be equal to any number. The device level interface 242 of the second network device 228 transfers packets in the P device 20 transfer queues to corresponding P processor transfer queues in the processor and memory 260, as denoted by reference numerals 2701 to 270_P. A processor interface block 272 for the second network device 228 receives the packets from the device level interface block 25 242 on signal lines 244_1 to 244_p . The processor level interface block 272 outputs the received packets on a respective output line 274_1 to 274_P , forming inputs to the respective ones of the P queues 270. The P queues 270 have respective outputs on output lines 2761 to 276p.

30 In the switching fabric controller 232, there are provided Q device transfer queues. Again, there may be one or more

arrival queues. In the example of the switching fabric controller 232, there is illustrated only a single device transfer queue, 2481. The device trasnfer queue has an input line 2461, and an output line 2501. The output line is connected to a switch level interface 252 for the switch The fabric controller 232. switching interface 252 provides packets from the device transfer queue 2481 on line 2541 to a processor level interface 278 for the switching fabric controller 232. At the processor side, there is provided an equivalent number of processor transfer queues Q, in this example being one queue denoted 2821. The processor level interface 278 provides packets on an output line 280_1 to the queue 282_1 , which in turn has an output 2841.

10

30

Referring further to Figure 2, it can be seen that each of 15 the switch or device level interfaces 222, 242, or 252 The device level additionally generates an interrupt. interface 222 generates an interrupt INT 1 on line 224, the device level interface 242 generates an interrupt INT 2 on line 256, and the switch level interface 252 generates an 20 interrupt INT 3 on line 258. Each of the interrupts on lines 224, 256, 258, and the outputs of each of the processor transfer queues on lines 268, 276, and 284, are received as inputs by a processor and memory functionality block 286 of the processor and memory block 260. 25 operation of the processor and memory functionality block 286 is described in further detail hereinbelow.

Each of the processor transfer queues 264, 270, and 282 is located in the processor and memory part 260. The appropriate device notifies the processor and memory 260 when it has transferred a certain amount of packets into

and memory functionality block 286, as is described in further detail hereinbelow, selects one of the queues for processing. The selection of the queue for processing may be based on any suitable algorithm, for example weighted round robin scheduling. The packet processing functionality of the processor and memory functionality block 286 then processes the packets one-by-one.

5

15

20

25

At each stage, the highest priority queue is provided with the lowest drop probability and the lowest latency.

In accordance with embodiments of the invention, multiple arrival queues are provided for queuing packets. In general, any number of 1 or more arrival queues may be provided. The arrival queues are preferably associated with priorities. Each incoming packet is then also preferably associated with a priority, which priority corresponds to a priority of one of the arrival queues. In a simple example, a packet may have one of two priorities, and two arrival queues each associated with a respective priority may be provided. In general, a packet may have one of 'n' priorities, and there may be provided 'n' arrival queues.

It should be noted, however, that where n priorities are provided, there may be more than n arrival queues provided, certain arrival queues having the same priority. In general, it is preferred that there is at least one arrival queue for each priority. However, in practice this may not be the case, and multiple priorities may map to a single queue.

It should also be noted that the length of the arrival queues for each priority may be the same or may be

different. Where it is known or expected that particular packets of a particular priority level will be prevalent, a longer arrival queue for such priority level may be provided.

5 The operation of the invention in the specific arrangement of Figure 2 is now described with additional reference to the flowchart of Figure 3.

Referring to Figure 3, in a step 302 a packet is received at an input interface of a device, such as the input interface 206 of the first network device 202. In a step 304, there is then determined a priority level of each arrival packet. As discussed above, preferably there is an arrival queue for each priority level, and the packet is then allocated to the appropriate arrival queue in step 306.

10

15

20

25

In the embodiments described herein, it is assumed that a priority of any given packet is indicated by a priority indicator included in the header of the packet. The identification and indication of a priority of a packet is outside the scope of the invention, and any known technique for denoting the priority of a packet may be used. The packet may have a field or multiple fields which can be used to find out its priority. The invention, or embodiments thereof, does not propose a new technique for allocating priorities to packets, or of identifying the priority of a packet within the packet.

In a step 308 it is then determined whether the arrival queue to which the packet has been allocated is full. If the queue is full, then in step 310 the packet is dropped.

If the queue is not full, then in step 312 the packet is transferred to the arrival queue.

Referring to Figure 4, the process steps in further handling the packets are described. In a step 402 the arrival queues, such as the N queues 210, are scheduled by the device level scheduler, such as scheduler 214. As such, the packets in the arrival queues are scheduled to the device transfer queues, such as M queues 218, in a step 404.

The scheduling mechanism may be any standard mechanism such as strict priority, round robin, or weighted round robin scheduling. It is not important how the scheduling is done or what header indicates the priority. Packets are scheduled from the arrival queues based on the respective priority of each arrival queue.

The scheduling of packets from the arrival queues to the device transfer queues is in dependence on there being space available in the device transfer queues for the appropriate packets in the arrival queues.

- 20 In a step 406, packets are transferred from the device transfer queues to the processor transfer queues. The flow of packets from the device transfer queues to the processor transfer queues is dependent upon space being available in the processor transfer queues.
- 25 When a packet is transferred from a device transfer queue to a processor transfer queue, as denoted by step 408 an interrupt is generated to the processor. The handling of interrupts, and the further processing of packets, is described in further detail hereinbelow.

The flow of packets from the device transfer queues to the processor transfer queues may be by any conventional technique. Direct memory access (DMA) is a commonly used technique. The transfer queues may be DMA engines and their corresponding descriptor rings. If two DMA engines are provided, then two transfer queues are provided, one per engine. On the device side, packets are scheduled to the device transfer queues ready for transfer across the bus. When there is space on the processor side, i.e. in the corresponding queue in the processor memory, the DMA engine initiates a transfer and notifies the processor by interrupt after the transfer is complete.

10

15

20

25

30

The queuing functionality in the device may be implemented in hardware (e.g. ASIC or FPGA) or in software (or firmware) running on a processor such as a network processor or a communications processor.

In the embodiment described with reference to Figure 2, there is shown an example in which a transfer queue generally comprises a device level transfer queue or queues and a processor level transfer queue. The invention is not limited to such a specific queuing arrangement.

In an alternative, the device level transfer queues may not be required, and packets may be transferred directly from the arrival queues to processor level transfer queues. An interrupt is generated in dependence on completion of a transfer of a packet to the processor level transfer queue.

In a further alternative, the device may not transfer packets directly to the processor level transfer queues. A further interrupt stage may be incorporated, with packets being transferred from either the arrival queues or the

device level transfer queues to the processor level transfer queues in dependence upon generation of an interrupt signal.

In general, the embodiments of the invention provide for a transfer queue to which packets are transferred from the arrival queues. The implementation of the transfer queue may vary, and the technique for the transport of packets from the arrival queues to the transfer queues may vary.

Turning now to Figure 5, the implementation and operation of the processor and memory functionality block 286 of Figure 2 is further illustrated. As shown in Figure 5, the processor and memory functionality block 286 includes a classifier block 502, K queues 506, to 506, a processor level scheduler 510, and a process function 514.

The classifier block 502 receives the outputs of each of 15 the processor transfer queues 268, 276, and 284. addition the classifier block 502 receives each of interrupt signals INT 1, INT 2, INT 3 on each of lines 224, and 258 respectively. As is discussed 20 hereinbelow, the classifier block 502 allocates packets received from the packet transfer queues on lines 268, 276, and 284 to one of the K queues 506_1 to 506_K . The classifier block 502 thus is provided with a plurality K of outputs 504_1 to 504_K , each forming an input to one of the K queues. The K queues 506 are further provided with respective 25 outputs 508_1 to 508_K , which forms inputs to the processor level scheduler 510. The output of the processor level scheduler on line 512 forms an input to the process

function 514.

The processor and memory functionality block 286 places the packets into K queues after an interrupt service routine and before the actual packet processing.

As discussed hereinabove with reference to Figure 2, when a packet is transferred to one of the processor transfer queues an interrupt signal is generated by the device from which the packet originated. The packet then remains in the processor transfer queue pending execution of the interrupt. The further operation of the processor and memory functional block 286 is now further described with reference to the flow processor of Figure 6.

5

10

15

25

30

As denoted by step 602, the classifier block 502 receives an interrupt signal from an external device. Responsive thereto, the processor and memory functional block 286 executes an interrupt service routine.

In step 604, the packet is taken from the appropriate transfer queue, 268, 276 or 284, associated with the interrupt signal, by the classifier block 502.

In a step 606, the classifier block 502 then classifies the 20 packet. The classification of the packet may be based on a determination of the priority of the packet. The priority of the packet may be preferably determined by reading an appropriate field of the packet header.

Once the packet has been classified, then the appropriate one of the K queues to which the packet should be allocated is preferably known, as the packet is routed to the one of the K queues having the corresponding classification. This assumes that there is one queue available for each classification. There may be more or less than one queue available for each classification, in which case it is

necessary to make a further determination of the allocation of the packet to a queue.

Once the queue to which the packet is to be allocated, based on classification, is determined, then the packet is placed in the appropriate one of the K queues, as denoted by step 608. Thus, the packet is transferred on one of the lines 504_1 to 504_K to the appropriate one of the queues 506_1 to 506_K .

After the packet is allocated to a particular one of the K queues, it is determined in step 610 whether the queue is full. If the queue is full, then in step 612 the packet is dropped. If the queue is not full, then in step 614 the packet is transferred to the appropriate queue.

Once the packet is placed in the queue, the interrupt routine is complete, as denoted by step 616.

Once the packets are allocated to one of the K queues, the processor level scheduler 510 applies a suitable algorithm to transfer packets from the queues to an appropriate packet processing stage, as represented by the process function 514. In practice, there may be a plurality of process functions, and the processor level scheduler 510 allocates the packet to the appropriate one of such plurality of process functions.

As with the device level scheduler, the processor level scheduler 510 may be any scheduling mechanism, and the implementation of the scheduler is outside the scope of the invention. The scheduler mechanism may, for example, be any standard mechanism such as strict priority, round robin, or weighted round robin scheduling. It is not

important to the invention, or embodiments thereof, as to how the scheduling is done.

The processor and memory functional block 286 preferably handles overload situations by simply dropping packets from the queues that get full, (for example by 'tail drop' or one of the known RED algorithms). By adjusting different parameters of the system (such as, for example, the number of queues, the queue depths, the queue selection algorithm, and the packet scheduling algorithm from the queues) the system can conform to selected differentiated services quality of service properties for each traffic class.

5

10

15

20

25

30

The lowest priority queue of the K queues is typically most likely to drop packets and the highest priority queue is typically the least likely to drop packets. How this is achieved in practice depends on the selected scheduling mechanism, the queue length, the packet length etc. The lowest priority queue typically experiences the highest processing delays (latency) and delay variation.

In summary, in embodiments the packets are received from a network device or a switching fabric over a bus at a memory. The device notifies a processor of the available packets in the processor memory by using an interrupt. The interrupt causes the CPU to invoke an interrupt service routine which transfers the packets from a received buffer in memory to one of a plurality of input queues. The queue is selected for each packet based on a classification, such as a priority field, in the packet header.

Once allocated to a queue the packets wait for actual packet processing by the appropriate process function. If the process function is unable to handle packets at the

rate they arrive, individual queues may become full and packets may be dropped from such queues. The latency of the packets in lower priority queues may increase in times of congestion.

5 An advantage of the invention is that the input direction (or ingress) queues may be made to comply with a selected quality of service (QoS) scheme, such as differentiated services, and packets may be treated according to the selected QoS scheme inside the system at all processing stages.

As such, the traffic class drop probabilities relative to each other can be controlled in the input path, and the traffic class latency relative to each other can be controlled in the input path.

- 15 In differentiated services, these two properties (drop probability and latency) determine the difference between two traffic classes. Therefore, they are very important properties that preferably should be controllable also inside the system. The invention provides this control.
- 20 A further advantage of the invention is in the improvement of usable bandwidth, since the techniques of embodiments of the invention do not try to limit the inbound traffic for some traffic classes (those that have the highest priority). The invention allows 100% processor utilization and may still provide adequate quality of service.
 - A still further advantage of the invention is that it simplifies overload control, since there are not any specific overload tasks or polling. The detection of overload is embedded in the embodiments of the invention and happens on a per-packet basis at each of the stages

30

separately. It is not as expensive an operation in terms of CPU cycles as an additional overload control process, so the invention saves CPU time for the actual tasks.

The invention guarantees that high priority packets are not likely to be dropped even if the CPU cannot process packets at the rate that they come in. This is different from other solutions that restrict the incoming packet rate, or start dropping packets from queues even before the queues get full. The invention guarantees similar quality of service treatment end-to-end (delay and drop probabilities).

5

10

15

20

25

The invention also guarantees that packets are treated according to their QoS profile at the packet processing stage(s), even if there is no hardware support, and assuming that the interrupt stage does not consume all system resources. With the hardware support for ingress queues, this feature gives a full end-to-end queuing system for a processor ingress path.

The invention may be implemented in any device where packet processing is based on a general purpose processor (CPU) and the enforcement of quality of service (QoS) is important. Such scenarios may, for example, be a serving GPRS support node and/or a gateway GPRS support node in 3G and GPRS core networks.

The invention may be especially advantageously implemented in devices that have network interface cards connected with a CPU using PCI or some other bus technology and network interface cards use DMA (direct memory access) or a similar method to transfer data.

The invention may be implemented in a system, where devices 30 connect to a processor, as described herein by way of

apply to an implementation in a single device, where the arrival and transfer queues are provided as part of a single device. Such a device may be, for example, a network interface card, or a combination of interface hardware and a network processor, where the network processor implements a queuing structure toward a CPU or multiple CPUs.

It should be noted that in the figures, for ease explanation, there is shown dedicated lines for priority/queue between various processing blocks. practical implementation, common bus/transfer resources may be used for all of the traffic classes, for example as a software function or as an electrical bus. The invention is not limited to the specifics of the implementation presented herein.

10

15

20

The invention has been described herein by way of reference to particular exemplary embodiments. It will be understood, however, that the invention is not limited to the detail of such examples. The scope of protection afforded by the invention is set out in the appended claims.

CLAIMS

5

- 1. A method of queuing packets for processing, the method comprising the steps of:
 - a. allocating each received packet to at least one arrival queue;
 - b. placing each packet in the allocated queue if said queue is not full, otherwise dropping said packet;
 - c. scheduling packets from the arrival queue to at least one transfer queue;
- d. responsive to transfer of a packet to a transfer queue, generating an interrupt;
 - e. responsive to receipt of an interrupt, allocating the packet to one of a plurality of processor queues;
- f. placing the packet in the allocated processor queue if said queue is not full, otherwise dropping said packet; and
 - g. scheduling packets from the processor queues for processing.
- 20 2. A method according to claim 1 wherein packets are received at an input to a plurality of devices.
 - 3. A method according to claim 1 or claim 2 wherein at least one device has a plurality of arrival queues
- 4. A method according to claim 3 wherein each arrival queue is associated with a traffic class, each packet being allocated to the at least one queue in accordance with the traffic class of each packet.

- 5. A method according to claim 4 wherein the traffic class is priority information embedded in the each packet.
- 6. A method according to claim 1 wherein at least one device comprises a plurality of transfer queues.
- 5 7. A method according to claim 1 wherein the number of transfer queues for each device is less than the number of arrival queues for each device.
- 8. A method according to claim 1 wherein the scheduling of packets from the arrival queue to the transfer queue is dependent upon one or more of: the traffic profile; the quality of service requirement; or the characteristics of the transfer queues.
- 9. A method according to claim 1 wherein the transfer queue comprises a device level transfer queue and a processor level transfer queue, wherein the device level transfer queue receives packets from the arrival queue, and the processor level transfer queue receives packets from the device level transfer queue.
- 10. A method according to claim 9 wherein packets are transferred to the processor level transfer queue from the device level transfer queue whenever there is space in the processor level transfer queue.
 - 11. A method according to claim 10 wherein packets are never dropped from the transfer queue.
- 25 12. A method according to claim 1 wherein the processor queues are associated with different priorities.
 - 13. A method according to claim 12 wherein the highest priority queue has the lowest drop probability and the lowest latency.

- 14. A method according to claim 1 wherein responsive to receipt of an interrupt from a device, a packet is removed from the transfer queue of the device and classified.
- 15. A method according to claim 14 wherein the 5 classification is based on a determination of priority.
 - 16. A method according to claim 14 wherein the packet is allocated to a processor queue in accordance with its classification.
- 17. A method according to claim 14 wherein the packet is 10 placed in the allocated processor queue if said queue is not full, otherwise the packet is dropped.
 - 18. A system including a processor and at least one device, in which system: packets for processing by the processor are received at an input of the at least one device, wherein the at least one device includes:
 - a. allocating means for allocating each received packet to at least one arrival queue of the device;
 - b. placement means for placing each packet in the allocated queue if said queue is not full, otherwise dropping said packet;
 - c. scheduling means for scheduling packets from the device arrival queue to at least one transfer queue; and
 - d. interrupt means, responsive to transfer of a packet to a transfer queue, for generating an interrupt from the device to a processor;

and wherein the processor includes:

15

20

25

- e. allocation means, responsive to receipt of an interrupt, for allocating the packet to one of a plurality of processor queues;
- f. placement means for placing the packet in the allocated processor queue if said queue is not full, otherwise dropping said packet; and
 - g. scheduling means for scheduling packets from the processor queues for processing.
- 19. A system according to claim 18 including a plurality 10 of devices adapted to receive packets for processing by the processor at inputs thereof.
 - 20. A system according to claim 18 or claim 19 in which at least one device is adapted to provide a plurality of arrival queues
- 15 21. A system according to claim 20 in which each arrival queue is associated with a traffic class, each packet being allocated to the at least one queue by the allocation means in accordance with the traffic class of each packet.
- 22. A system according to claim 21 in which the traffic class is priority information embedded in the each packet.
 - 23. A system according to claim 18 in which at least one device includes a plurality of transfer queues.
 - 24. A system according to claim 18 wherein the number of transfer queues for each device is less than the number of arrival queues for each device.

25

25. A system according to claim 18 in which the scheduling means is responsive to one or more of: the traffic profile; the quality of service requirement; or the characteristics of the transfer queues.

26. A system according to claim 18 in which the transfer queue comprises a device level transfer queue and a processor level transfer queue, the device level transfer queue being adapted to receive packets from the arrival queue, and the processor level transfer queue being adapted to receive packets from the device level transfer queue.

5

10

15

- 27. A system according to claim 26 being adapted such that packets are transferred to the processor level transfer queue from the device level transfer queue whenever there is space in the processor level transfer queue.
- 28. A system according to claim 27 further adapted such that packets are never dropped from the transfer queue.
- 29. A system according to claim 18 in which the processor queues are adapted to be associated with different priorities.
- 30. A system according to claim 29 in which the system is adapted such that the highest priority queue has the lowest drop probability and the lowest latency.
- 31. A system according to claim 18 wherein the processor 20 includes transfer means adapted, responsive to receipt of an interrupt from a device, to remove a packet from the transfer queue of the device, and provide such to a classification means for classification.
- 32. A system according to claim 31 in which the 25 classification is adapted to be based on a determination of priority.
 - 33. A system according to claim 31 further including means to allocate the packet to a processor queue in accordance with its classification.

- 34. A system according to claim 31 where placement means are adapted such that the packet is placed in the allocated processor queue if said queue is not full, and otherwise the packet is dropped.
- 5 35. A device adapted for queuing packets to be processed, the device including:
 - a. allocating means for allocating a received packet to at least one arrival queue;
- b. placement means for placing each packet in the allocated queue if said queue is not full, otherwise dropping said packet;
 - c. scheduling means for scheduling packets from the arrival queue to at least one transfer queue; and
 - d. interrupt means, responsive to transfer of a packet to a transfer queue, for generating an interrupt;
 - e. allocation means, responsive to receipt of an interrupt, for allocating the packet to one of a plurality of processor queues;
- f. placement means for placing the packet in the allocated processor queue if said queue is not full, otherwise dropping said packet; and

. 15

- g. scheduling means for scheduling packets from the processor queues for processing.
- 36. A device according to claim 35 including a plurality 25 of arrival queues
 - 37. A device according to claim 36 in which each arrival queue is associated with a traffic class, each packet being allocated to the at least one queue by the allocation means in accordance with the traffic class of each packet.

- 38. A device according to claim 35 including a plurality of transfer queues.
- 39. A device according to claim 35 in which the transfer queue comprises a device level transfer queue and a processor level transfer queue, the device level transfer queue being adapted to receive packets from the arrival queue, and the processor level transfer queue being adapted to receive packets from the device level transfer queue.

5

20

25

- 40. A device according to claim 39 being adapted such that 10 packets are transferred to the processor level transfer queue from the device level transfer queue whenever there is space in the processor level transfer queue.
 - 41. A device according to claim 40 further adapted such that packets are never dropped from the transfer queue.
- 15 42. A device according to claim 35 in which the processor queues are adapted to be associated with different priorities.
 - A device according to claim 35 further including receipt of transfer means adapted, responsive to interrupt, to remove a packet from the transfer queue of, classification means for provide such to and a classification.
 - 44. A device according to claim 35 further including means to allocate the packet to a processor queue in accordance with its classification.
 - 45. A device according to claim 44 in which the placement means are adapted such that the packet is placed in the allocated processor queue if said queue is not full, and otherwise the packet is dropped.

ABSTRACT

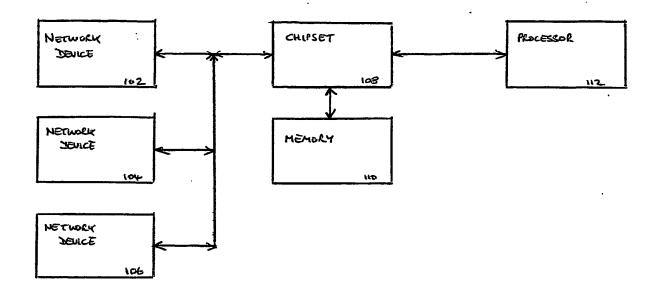
5

10

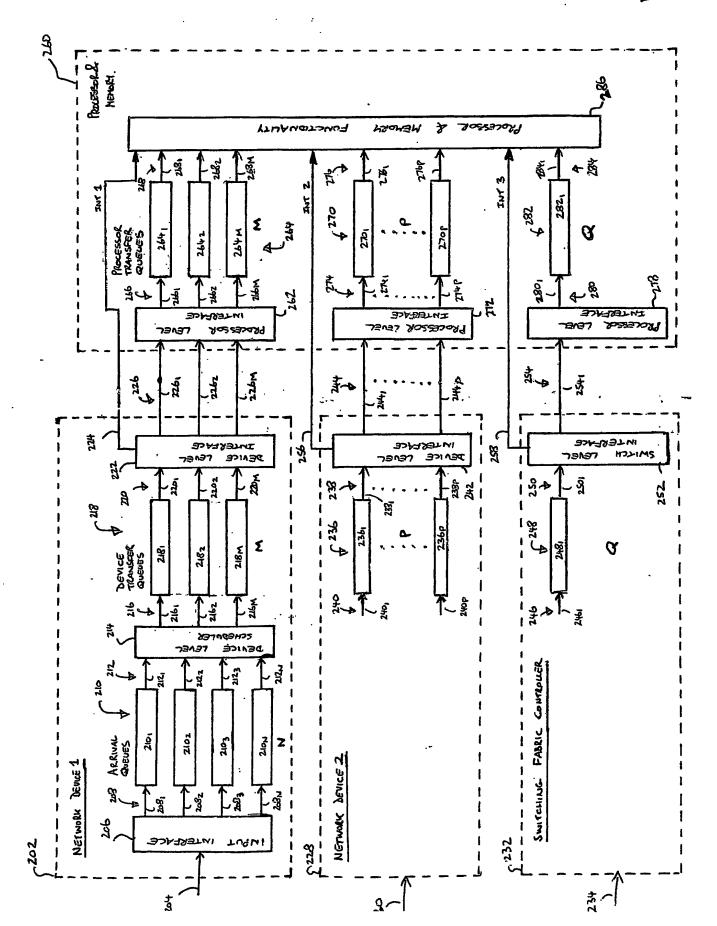
15

There is disclosed a method of queuing packets received at an input to at least one device for processing, the method comprising the steps of: allocating each received packet to at least one arrival queue of the device; placing each packet in the allocated queue if said queue is not full, otherwise dropping said packet; scheduling packets from the device arrival queue to at least one transfer queue; responsive to transfer of a packet to a transfer queue, generating an interrupt from the device to a processor; at the processor, responsive to receipt of an interrupt, allocating the packet to one of a plurality of processor queues; placing the packet in the allocated processor queue if said queue is not full, otherwise dropping said packet; and scheduling packets from the processor processing.

[Figure 3]



Fix 1



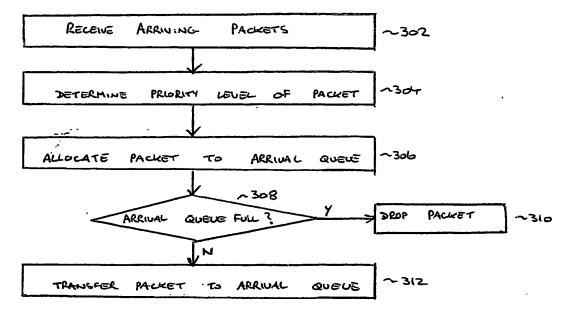
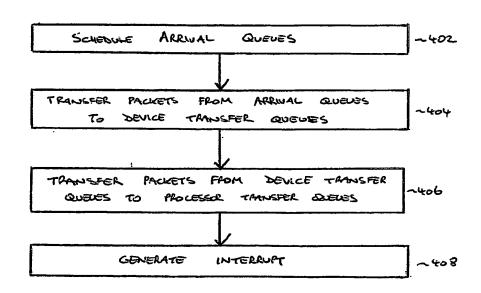
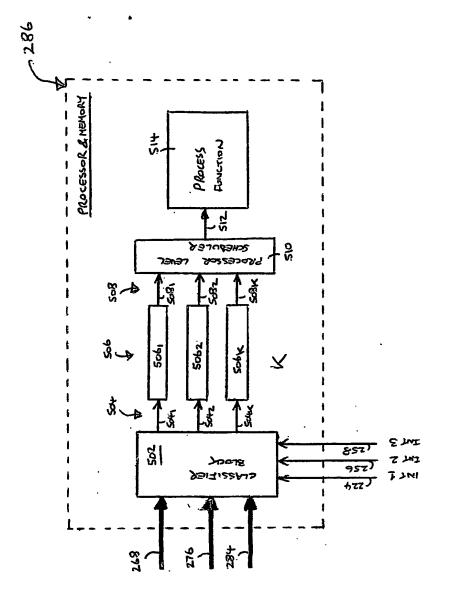
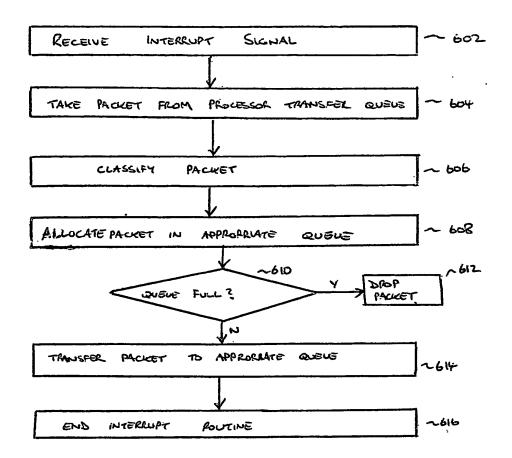


FIG 3



FIGH





F16-6

Document made available under the Patent Cooperation Treaty (PCT)

International application number: PCT/IB05/001971

International filing date: 14 June 2005 (14.06.2005)

Document type: Certified copy of priority document

Document details: Country/Office: GB

Number: 0413482.1

Filing date: 16 June 2004 (16.06.2004)

Date of receipt at the International Bureau: 02 August 2005 (02.08.2005)

Remark: Priority document submitted or transmitted to the International Bureau in

compliance with Rule 17.1(a) or (b)



This Page is Inserted by IFW Indexing and Scanning Operations and is not part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images include but are not limited to the items checked:

□ BLACK BORDERS
□ IMAGE CUT OFF AT TOP, BOTTOM OR SIDES
□ FADED TEXT OR DRAWING
□ BLURRED OR ILLEGIBLE TEXT OR DRAWING
□ SKEWED/SLANTED IMAGES
□ COLOR OR BLACK AND WHITE PHOTOGRAPHS
□ GRAY SCALE DOCUMENTS
□ LINES OR MARKS ON ORIGINAL DOCUMENT
□ REFERENCE(S) OR EXHIBIT(S) SUBMITTED ARE POOR QUALITY

IMAGES ARE BEST AVAILABLE COPY.

□ OTHER:

As rescanning these documents will not correct the image problems checked, please do not report these problems to the IFW Image Problem Mailbox.